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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/781,362	02/12/2001	John E. Cronin	ipCG-519

CONFIRMATION NO. 4218

FORMALITIES LETTER



OC000000005859395

ipCapital Group
Suite 325
400 Cornerstone Dr.
Williston, VT 05495

Date Mailed: 03/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



05-15-01 H-A-

ipCG-519

Sector 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE UNITED STATES PATENT APPLICATION:

Inventors: John E. Cronin Filing Date: 02/12/2001
App. No.: 09/781,362 Examiner: Not Assigned
Art Unit: 2161
For: Automated IP Tracking System and Method

EXPRESS MAIL CERTIFICATE

I HEREBY CERTIFY THAT I AM MAILING THE ATTACHED DOCUMENTS TO THE ASSISTANT COMMISSIONER FOR PATENTS ON THE DATE SPECIFIED BELOW, IN AN ENVELOPE ADDRESSED TO THE COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, AND EXPRESS MAILED UNDER THE PROVISIONS OF 37 CFR 1.10.


MICHAEL A. BUFFA

MAY , 2001
DATE

EK820398380US
EXPRESS MAIL LABEL NUMBER

RESPONSE TO MARCH 14, 2001 NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION, AMENDMENT,
RECORDATION AND ASSIGNMENT, AND DECLARATION FOR
UNITED STATES PATENT APPLICATION NO. 09/781,361

COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Dear Sir:

In response to the March 14, 2001 Notice To File Missing Parts, a copy of which is attached hereto as Appendix A, the applicants submit herewith a substitute specification and substitute drawings with the corrected margins, in satisfaction of the requirements of 37 CFR §1.52(b) for the specification and 37

CFR §1.84(g) for the drawings. Additionally, the substitute specification submitted in Appendix A is provided with the following corrections: on page 1, line 18, please note that “60/181,741” has been replaced with --60/181,825--. Please note that the specification as originally filed contained the correct application title. Consequently, the error is obvious to one of ordinary skill in the art and it is readily detected that U.S. Application Number 60/181,825 is the correct application number for this U.S. Application. Additionally, “U.S. Patent Application No. _____ (ipCG-519), entitled AUTOMATED IP TRACKING SYSTEM AND METHOD, filed February, 12, 2001, which in turn claims priority to” at lines 18-19 of the specification as originally submitted has been deleted. The cross-reference referred to itself and was mistakenly added to the specification. The mistake is readily detected by one of ordinary skill in the art. Additionally, the substitute specification revises the specification at page 1, line 22 by replacing the provisional filing date of “January 19, 2001” with the proper filing date of --February 2, 2000-- for U.S. Application Number 60/179,675 entitled “Scanning Invention Process.” The specification as originally filed contained the correct title and filing date, and the proper filing date is also readily apparent to one of ordinary skill in the art.

Additionally, U.S. Patent Application Numbers have been received for the Cross-References to Related Applications since the original submission of the specification. As a result, “No. _____ (ipCG-507)” at line 5 has been replaced with --09/781,365--, “No. _____ (ipCG-508)” at line 10 has been replaced with --09/781,368--, “No. _____ (ipCG-509)” at line 15 has been replaced with --09/781,361--, and “No. _____ (ipCG-506)” at line 20 has been replaced with --09/766,456--.

A “Declaration for Patent Application” is submitted herewith as Appendix B.


Also submitted herewith as Appendix C is an Assignment of the subject matter and a Recordation form for the Assignment.

In the event that any issues remain outstanding incident to the formal allowance of the application, the Examiner is requested to contact the undersigned attorney at (802)872-3200 extension 284 (email: mbaffa@ipcapitalgroup.com) to discuss their resolution. In the event that the undersigned attorney cannot be reached, the Examiner is requested to contact William Barrett (Reg. No. 42,296) at (802)872-3200 extension 282 (email: bbarrett@ipcapitalgroup.com).

Attached is a check in the amount of \$105.00 (\$65.00 for the surcharge for the late filing of the Declaration as required by 37 CFR §1.16(e) and \$40.00 for the Recordation of Assignment as required by

37 CFR §1.21(h)). Please charge any amount required for the entry of this response to Deposit Account No. 501787 of *ip*Capital Group, Inc.

RESPECTFULLY SUBMITTED.

A handwritten signature in black ink, appearing to read "Michael A. Baffa", is written over a horizontal line.

MICHAEL A. BAFFA
REGISTRATION NO. 42,279
ATTORNEY FOR APPLICANTS

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Appendix A

**Copy of March 14, 2001 Notice to File Missing Parts
and Substitute Specification and Substitute Drawings**



Appendix C
Assignment with Recordation Form